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Re the Application of

Derek van der Kooy, t. al.

MAY 28 2003

Application No.

09/966, 768

TECH CENTER 1600/2900  
MAY 28 2003

Attorney Docket No.

Bereskin & Parr

Filed:

September 28, 2001

TECH CENTER 1600/2900

For:

PRIMITIVE NEURAL STEM CELLS AND  
METHOD FOR DIFFERENTIATION OF STEM  
CELLS TO NEURAL CELLS

Examiner:

Daniel M. Sullivan

Group Art Unit:

1636

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**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97**

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicants are submitting herewith a Form PTO-1449 and a copy of the references listed thereon. This Information Disclosure Statement is being filed more than three months after the filing date of this application, and after receipt of the first Official Action on the merits, but before receipt of a Final Official Action or a Notice of Allowance. Accordingly, the fee required under 37 C.F.R. 1.97(c) is enclosed. In the event the check is improper, or the fee calculation is in error, the Commissioner is authorized to charge any underpayment or credit any overpayment to the account of the undersigned attorneys, Account No. 04-1406. A duplicate copy of this sheet is enclosed.

In the opinion of the undersigned, the references submitted herewith are the most pertinent of which the undersigned is aware. However, no representation is made or intended that more pertinent references do not exist.


This submission is not an admission that the references listed on the attached Form PTO-1449 constitute prior art against the claims of this application.

The Examiner is respectfully requested to confirm receipt and consideration of the cited references by initialing and returning a copy of the attached Form PTO-1449 in accordance with MPEP §609.

Early and favorable consideration of this application is respectfully requested.

Respectfully submitted,

DANN, DORFMAN, HERRELL & SKILLMAN  
A Professional Corporation  
Attorneys for Applicant(s)

By   
Kathleen D. Rigaut, Ph.D., J.D.  
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Enclosures - Form PTO-1449

Copies of references listed on PTO - 1449

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	1	of	9
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**C mplete if Known**

Application Number	09/966,768
Filing Date	September 28, 2001
First Named Inventor	Derek van der Kooy
Group Art Unit	1636
Examiner Name	Daniel M. Sullivan
Attorney Docket Number	Bereskin & Parr

## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		WO 99/01553 A	Jan 14, 1999	Genetics Institute, University of California		
		WO 99/01159 A	Jan 14, 1999	University of Utah Research Foundation		
		WO 01/51616 A2	July 19, 2001	Geron Corporation		
		WO 99/32606 A	July 1, 1999	Brüstle, Oliver		

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<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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		Y. Benninger et al. "Differentiation and Histological Analysis of Embryonic Stem Cell-Derived Neural Transplants in Mice." Brain Pathology 10 pp 330-341 (2000).	
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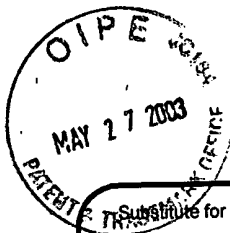
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Sheet 3 of 9

**Complete if Known**

Application Number	09/966,768
Filing Date	September 28, 2001
First Named Inventor	Derek van der Kooy
Group Art Unit	1636
Examiner Name	Daniel M. Sullivan
Attorney Docket Number	Bereskin & Parr

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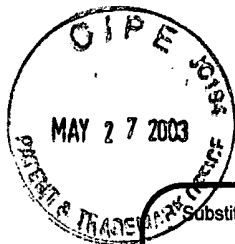
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Sheet 7 of 9

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Application Number	09/966,768
Filing Date	September 28, 2001
First Named Inventor	Derek van der Kooy
Group Art Unit	1636
Examiner Name	Daniel M. Sullivan
Attorney Docket Number	Bereskin & Parr

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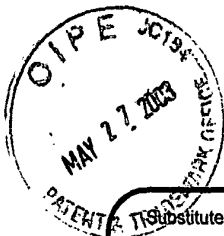
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		S.J. Morrison et al. "Regulatory Mechanisms in Stem Cell Biology." Cell, Vol. 88, pp 287-298, February 7, 1997.	
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		Group Art Unit	1636		
		Examiner Name	Daniel M. Sullivan		
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		V. Tropepe et al. "Autonomous neural cell fate specification in mouse embryonic stem cells." Poster at Meeting of the Society for Neuroscience, Miami Beach, Florida, October 23-28, 1999.	

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